

Alon Y. Kapen

Emerging Companies & Venture Capital

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LOCATION: Uniondale

Alon Y. Kapen is a partner in the corporate and finance department, concentrating in corporate securities transactions and regulation and mergers and acquisitions. Mr. Kapen leads the Emerging Companies & Venture Capital practice group at Farrell Fritz. He is the editor, and an author of, the firm's [New York Venture Hub](#) blog. Alon has been quoted in Wikipedia about a landmark Supreme Court Case and in several law journals regarding SEC Rules for mini offerings.

Mr. Kapen has represented many business enterprises and investors in a wide range of corporate and commercial matters and transactions, including the representation of:

- entrepreneurs and early stage companies in the formation of business entities, negotiation of capital raising transactions, compliance with securities laws, incentivization of employees and development of effective corporate governance
- SEC reporting companies with respect to ongoing disclosure obligations and compliance with Federal and state securities laws and rules and self-regulatory organization rules, public offerings and other SEC registrations, corporate governance and specialized areas of securities practice such as takeover preparedness, proxy contests and other activist shareholder initiatives
- private and institutional investors in the negotiation of various stages of equity, debt and convertible funding transactions and the planning and implementation of exit strategies
- business enterprises and management teams in the acquisition and disposition of companies, divisions and lines of business, mergers, going private transactions, joint ventures and spinoffs
- private equity funds and their organizers, managers and advisers in the formation and funding of venture capital funds, hedge funds, real estate funds and funds-of-funds
- owners of privately-held businesses in the preparation and implementation of exit strategies

Mr. Kapen began his career in 1987 as an associate with Willkie Farr & Gallagher.

Mr. Kapen is President Emeritus and a member of the Board of Governors of the Long Island Region of the American Jewish Committee. He is also a member of the Dean's Council of the College of Engineering and Applied Sciences at SUNY Stony Brook; the Board of Directors of COMETS (a startup accelerator); the Steering Committees for the Long Island Technology Hall of Fame and Family and Children's Association; the Board of Trustees for the Huntington Jewish Center; and a Board Member of the Middle Market Alliance of Long Island. Mr. Kapen is a former Chairman of the Long Island Division of the Association of Corporate Growth (ACG) New York.

Mr. Kapen received a Juris Doctor degree in 1987 from Cornell Law School, where he was an Editor of the *Cornell Law Review*. While on Law Review, Mr. Kapen authored *Duty to Cooperate Under Section 2 of the Sherman Act: Aspen Skiings Slippery Slope*, 72 Cornell Law Rev. 1047 (1987). He earned a Bachelor of Arts degree, cum laude, in 1983 from Brandeis University.



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Practice Areas

[Emerging Companies & Venture Capital](#)
[Corporate & Finance](#)

Education

Brandeis University
Cornell Law School